



## AUDIT AND COMPLIANCE COMMITTEE AGENDA

July 3, 2013

The **Audit and Compliance Committee** of the Board of Directors of the Cook County Health and Hospitals System will meet on **Wednesday, July 10, 2013** at the hour of **10:00 A.M.** at 1900 W. Polk Street, in the Second Floor Conference Room, Chicago, Illinois, to consider the following:

- |   | <u><b>Time/Presenter</b></u><br>(times are approximate) |
|---|---|
| <b>I. Attendance/Call to Order</b>  | <b>10:00/Chairman Muñoz</b>                             |
| <b>II. Public Speakers</b>  | <b>10:00-10:10</b>                                      |
| <b>III. **Report from System Corporate Compliance Officer</b>                                       | <b>10:10-10:40/Cathy Bodnar</b>                         |
| A. File quarterly statistics on reactive compliance issues  |   |
| B. File status of FY2013 work plan activities   |   |
| C. Provide update on Information Security – internal and external environment                       |   |
| D. Review draft Corporate Compliance Steering Committee Charter                                     |   |
| <b>IV. **Report from Director of Internal Audit</b>   | <b>10:40-10:50/Tom Schroeder</b>                        |
| <b>V. Recommendations, Discussion/Information Items</b>   | <b>10:50-11:20</b>                                      |
| A. **CCHHS Financial Statements, for the year ended November 30, 2012                               | McGladrey LLP   |
| B. **CCHHS Management Letter, for the year ended November 30, 2012                                  |   |
| C. **Cook County Single Audit Report on Federal Awards, for the fiscal year ended November 30, 2012 | Washington, Pittman & McKeever, LLC                     |
| <b>VI. Action Items</b>   |   |
| A. Minutes of the Audit and Compliance Committee Meeting, April 10, 2013                            | <b>11:20-11:25/Chairman Muñoz</b>                       |
| B. Any items listed under Sections V, VI and VII  |   |
| <b>VII. Closed Session Items</b>  | <b>11:25-11:55</b>                                      |
| A. **Report from System Corporate Compliance Officer  |   |
| B. **Report from System Director of Internal Audit  |   |
| C. **CCHHS Financial Statements, for the year ended November 30, 2012                               |   |
| D. **CCHHS Management Letter, for the year ended November 30, 2012                                  |   |
| E. **Cook County Single Audit Report on Federal Awards, for the fiscal year ended November 30, 2012 |   |
| F. Discussion of Personnel Matters  |   |

**VII. Closed Session Items (continued)**

Closed Session

Motion to recess the regular meeting and convene into closed session, pursuant to the following exceptions to the Open Meetings Act:

**5 ILCS 120/2(c)(1)**, regarding “the appointment, employment, compensation, discipline, performance, or dismissal of specific employees of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee of the public body or against legal counsel for the public body to determine its validity,” and

**5 ILCS 120/2(c)(28)**, regarding “meetings between internal or external auditors and governmental audit committees, finance committees, and their equivalents, when the discussion involves internal control weaknesses, identification of potential fraud risk areas, known or suspected frauds, and fraud interviews conducted in accordance with generally accepted auditing standards of the United States of America.”

**VIII. Adjourn**

Committee Members:

Chairman: Muñoz

Members: Board Chairman Carvalho (Ex-Officio) and Directors Morris and Wiese  
Gerald Bauman (Non-Director Member)